

Kristopher G. Klein: Curriculum Vitae

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EDUCATION	University of Iowa Doctor of Philosophy in Physics <i>The Kinetic Plasma Physics of Solar Wind Turbulence</i> <i>Chair: G.G. Howes Committee: F. Skiff, C. Kletzing, S. Spangler, A. Ratner</i> Luther College Bachelor of Arts in Physics and Mathematics; Magna Cum Laude <i>Advisor: Todd Pedlar; Departmental Honors</i>	Iowa City, IA Dec. 2013 10.5281/zenodo.50471
EMPLOYMENT	University of Arizona Assistant Professor, Dept. Planetary Sciences & LPL University of Michigan Postdoctoral Research Scholar, CLASP University of New Hampshire NSF AGS Postdoctoral Researcher, Space Science Center University of Iowa Postdoctoral Researcher, Dept. Physics & Astronomy	Tucson, AZ 2018-present Ann Arbor, MI 2016-2018 Durham, NH 2014-2016 Iowa City, IA 2014
HONORS AND AWARDS	Lev D. Landau and Lyman Spitzer Jr. Award for Outstanding Contributions to Plasma Physics NASA Silver Achievement Medal (Parker Solar Probe Team) NASA Early Career Investigator Program Award National Academy of Science New Leaders in Space Physics NSF AGS Postdoctoral Research Fellow University of Iowa Goertz/Nicholson Memorial Scholarship Phi Beta Kappa - National Honor Society Pi Mu Epsilon - National Mathematics Honor Society Sigma Pi Sigma - National Physics Honor Society	2022 2019 2019 2016 2014 2012 2008 2007 2006
SERVICE	Government Service NATIONAL AERONAUTICS AND SPACE ADMINISTRATION: Review Panelist - 2014, 2019, 2020; External Reviewer - 2015 NATIONAL SCIENCE FOUNDATION: Review Panelist - 2014, 2017, 2020, 2021; External Reviewer - 2016, 2019 DEPARTMENT OF ENERGY: External Reviewer - 2020 UNITED KINGDOM RESEARCH COUNCIL: External Reviewer - 2021 Professional Service Executive Committee Member-at-Large, Topical Group of Plasma Astrophysics, American Physical Society Division of Plasma Physics: 2018-2021 Treasurer, Topical Group of Plasma Astrophysics, American Physical Society Division of Plasma Physics: 2021- Program Committee, American Physical Society Division of Plasma Physics: 2023 Parker Two Conference Science Organizing Committee (2022) COSPAR Session Organizing Committee: PSP Session (2022)	

Parker Solar Probe Science Working Group Local Organizing Committee (Fall 2022)
SHINE Workshop Session Organizer: 2015-2019,2022
SHINE Steering Committee: 2023-
Parker Solar Probe Science Working Group Lead Organizer: Fall 2022
American Geophysical Union Fall Meeting Session Organizer: 2017, 2019
American Physical Society, Division of Plasma Physics Mini-conference Organizer: 2017

Professional Membership

American Astronomical Society, Division of Solar Physics (2018-present)
American Geophysical Union, (2013-present)
American Physical Society, Division of Plasma Physics (2010-present)

Journal Referee[32]: Nature Physics[1], Nature Communications[1],
Journal of Plasma Physics[5], Physics of Plasmas[4], Physical Review Letters [2],
Journal of Geophysical Research [2], The Astrophysical Journal Letters[3],
The Astrophysical Journal[8], Annales Geophysicae[3], Frontiers: Space Sciences [1]

University Service

Steward Observatory Faculty Search Committee: Spring 2023

Departmental Service

Lunar and Planetary Laboratory & Department of Planetary Sciences, U. Arizona:
Theoretical Astrophysics Program Steering Committee: 2018-present
Theoretical Astrophysics Program Colloquium Committee: 2019-present
Graduate Advising and Admissions Committee: 2018-present (Chair 2021-2022)
Journal Club Advisor: 2018-2019

OUTREACH

Public Seminars [7]

HelioSwarm: A Future NASA Mission to Better Understand Space Plasmas
Tucson Amateur Astronomy Association, Jan. 2023
Our First Encounters with the Sun: What we are learning from NASA's Parker Solar Probe
Ray Society, March 2021
Our First Encounters with the Sun: What we are learning from NASA's Parker Solar Probe
Old Pueblo Business Alliance, June 2020
Our First Trip to the Sun: What we are learning from NASA's Parker Solar Probe
Saddlebrooke SkyGazers Club, Feb. 2019
A Mission to Touch the Sun: What we are learning from NASA's Parker Solar Probe
49th Smithsonian Lecture Series in Astronomy, Jan. 2019
Traveling to the Sun: What we are learning from NASA's Parker Solar Probe
Tucson Graduate Club, Dec. 2018
Visiting our Sun: Unraveling the Mysteries of the Solar Atmosphere using
Parker Solar Probe LPL Evening Seminar, Sept. 2018

PUBLICATIONS

PEER REVIEWED JOURNAL ARTICLES

[91 Articles; h-index 32, i10-index: 66, 3017 citations]^{1,2,3}

¹As of February 11, 2023 from SAO/NASA ADS: http://adsabs.harvard.edu/abstract_service.html

²ORCID ID: 0000-0001-6038-1923

³◦Postdoctoral mentee or graduate student advisee. *Work done as a graduate student.

[91]Juno, J, et al. (8th of 8 authors) *Phase-space Energization of Ions in Oblique Shocks* ApJ **944** 15, 2023 doi: 10.3847/1538-4357/acaf53

[90]Shankarappa, N^o, **Klein, K. G.**, and Martinović, M.M., *Estimation of turbulence proton and electron heating rates via Landau damping constrained by Parker Solar Probe observations* ApJ, **xxx** x, 2023, doi: 10.3847/1538-4357/ac93f5

[89]Raouafi, N.E., et al., (6th of 57 authors), *Parker Solar Probe: Four Years of Discoveries at Solar Cycle Minimum SSRv*, **219** 8, 2023, doi: 10.1007/s11214-023-00952-4

[88]Livi, R. et al., (6th of 22 authors), *The Solar Probe ANalyzer-Ions on the Parker Solar Probe* ApJ, **938** 2, 2022, doi: 10.3847/1538-4357/ac93f5

[87]Bowen, T. S. et al., (6th of 12 authors), *In Situ Signature of Cyclotron Resonant Heating in the Solar Wind* PRL, **129** 16, 2022, doi: 10.1103/PhysRevLett.129.165101

Citations: 4

[86]Jiang, W., et al. (5th of 5 authors), *Whistler Waves as a Signature of Converging Magnetic Holes in Space Plasmas* ApJ, **935**, 169, 2022 doi: 10.3847/1538-4357/ac7ce2

[85]Shi, C., et al. (10th of 21 authors), *Patches of Magnetic Switchbacks and Their Origins* ApJ, **934**, 152, 2022 doi: 10.3847/1538-4357/ac7c11 **Citations: 2**

[84]Howes, G. G., et al. (7th of 14 authors), *Revolutionizing our Understanding of Particle Energization in Space Plasmas Using On-Board Wave-Particle Correlator Instrumentation* FrASS, **9**, 912868, 2022 doi: 10.3389/fspas.2022.912868 **Citations: 1**

[83]Martinović, M. M.^o, Antonije R. Dordević, **Klein, K. G.**, et al. (10 authors) *Plasma Parameters from Quasi-Thermal Noise Observed by Parker Solar Probe: A New Model for the Antenna Response* JGR, 127, e2021JA030182. 2022 doi: 10.1029/2021JA030182

Citations: 1

[82]Verniero, J, et al. (12th of 19 authors), *Strong perpendicular velocity-space in proton beams observed by Parker Solar Probe* ApJ, **924**, 112, 2022 doi: 10.3847/1538-4357/ac36d5 **Citations: 6**

[81]Kasper, J. C., **Klein, K.G.** et al., (28 authors), *Parker Solar Probe Enters the Magnetically Dominated Solar Corona*, PRL, **127**, 255101, 2021, doi: 10.1103/PhysRevLett.127.255101 **Citations: 50**

[80]Martinović, M. M.^o, **Klein, K. G.**, Durovcova, T., Alterman, B., *Ion-Driven Instabilities in the Inner Heliosphere I: Statistical Trends* ApJ, **923**, 116, 2021 doi: 10.3847/1538-4357/ac3081 **Citations: 3**

[79]Esman, T. M., Espley, J., Gruesbeck, J, **Klein, K. G.**, & Giacalone, J., *Plasma Waves Far Upstream of the Martian Bow Shock* JGR, **126**, 11, e29686, 2021 doi: 10.1029/2021JA029686 **Citations: 1**

[78]Vech, D. et al. (6th of 8 authors) *Experimental determination of ion acoustic wave dispersion relation with interferometric analysis* JGR, **126**, 11, e29221, 2021 doi:10.1029/2021JA029221 **Citations: 2**

[77]Broeren, T.^o, **Klein, K. G.**, et al (6 authors), *Magnetic Field Reconstruction for a Realistic Multi-Point, Multi-Scale Spacecraft Observatory* FrASS, **8**, 144B, 2021 doi: 10.3389/fspas.2021.727076

- [76]Juno, J, et al. (7th of 8 authors) *A field–particle correlation analysis of a perpendicular magnetized collisionless shock* J. Plasma Physics. **87** 905870316, 2021 doi:10.1017/S0022377821000623 **Citations: 5**
- [75]Verscharen, D. et al. (15th of 27 authors) *A Case for Electron-Astrophysics* Experimental Astronomy 2021 doi:10.1007/s10686-021-09761-5 **Citations: 6**
- [74]Chen, C.H.K. et al. (8th of 11 authors) *The near-Sun streamer belt solar wind: turbulence and solar wind acceleration* A&A **650**, L3, 2021 doi:10.1051/0004-6361/202039872 **Citations: 16**
- [73]Vech, D. et al. (6th of 7 authors) *A powerful machine learning technique to extract proton core, beam, and alpha-particle parameters from velocity distribution functions in space plasmas* A&A **650**, A198, 2021 doi:10.1051/0004-6361/202141063
- [72]Halekas et al. (10th of 18 authors) *Electron Heat Flux in the near-Sun environment* A&A **650**, A15, 2021 doi:10.1051/0004-6361/202039256 **Citations: 24**
- [71]Zhao, L. L. et al. (24th of 25 authors) *Detection of small magnetic flux ropes from the third and fourth Parker Solar Probe encounters* A&A **650**, A12, 2021 doi:10.1051/0004-6361/202039298 **Citations: 23**
- [70]Vech, D., Martinović, M.M.°, **Klein, K.G.**, et al. (24 authors) *Wave-particle energy transfer directly observed in an ion cyclotron wave* A&A **650**, A10, 2021 doi:10.1051/0004-6361/202039296 **Citations: 8**
- [69]Verniero, J, Howes, G. G., Stewart, D. E., & **Klein, K. G.**, *PATCH: Particle Arrival Time Correlation for Heliophysics* JGR, 2021 doi: 10.1029/2020JA028940 **Citations: 3**
- [68]Verniero, J, Howes, G. G., Stewart, D. E., & **Klein, K. G.**, *Determining Threshold Instrumental Resolutions for Resolving the Velocity-Space Signature of Ion Landau Damping* JGR, 2021 doi: 10.1029/2020JA028361 **Citations: 5**
- [67]Martinović, M. M.°, **Klein, K. G.** et al. (12 authors) *Multiscale Solar Wind Turbulence Properties inside and near Switchbacks Measured by the Parker Solar Probe* ApJ **912**, 28, 2021 doi: 10.3847/1538-4357/abebe5 **Citations: 16**
- [66]Perez, J. C., Chandran. B. D. G., **Klein, K. G.**, & Martinović, M. M.° *How Alfvén waves energize the solar wind: heat versus work* J. Plasma Phys. **87**, 905870218, 2021 doi: 10.1017/S0022377821000167 **Citations: 3**
- [65]**Klein, K. G.** et al. (15 authors) *Inferred Linear Stability of Parker Solar Probe Observations Using One- and Two-component Proton Distributions* ApJ **909**, 7, 2021 doi: 10.3847/1538-4357/abd7a0 **Citations: 15**
- [64]Beatty, C.B et al(5th of 8 authors) *Creation of large temperature anisotropies in a laboratory plasma*, PoP **27**, 122101, 2020 doi: 10.1063/5.0029315 **Citations: 6**
- [63]Bourouaine, S., Perez, J.C., **Klein, K. G.**, et al (8 authors) *Turbulence Characteristics of Switchback and Nonswitchback Intervals Observed by Parker Solar Probe*, ApJL. **904**, L30, 2020 doi: 10.3847/2041-8213/abbd4a **Citations: 21**
- [62]Woolley, T. et al. (11th of 13 authors) *Proton core behaviour inside magnetic field switchbacks* MNRAS **498**, 4, 2020 doi: 10.1093/mnras/staa2770 **Citations: 22**
- [61]Chen, Y. et al (12th of 18 authors) *Small-scale Magnetic Flux Ropes in the First Two Parker Solar Probe Encounters*, ApJ **903**, 76, 2020 doi: 10.3847/1538-4357/abb820 **Citations: 17**

- [60]Kawazura, Y. et al. (7th of 8 authors) *Ion versus electron heating in compressible driven gyrokinetic turbulence* PRX **10**, 4, 2020 doi: 10.1103/PhysRevX.10.041050
Citations: 12
- [59]Klein, K. G., Howes, G. G., TenBarge, J.M., & Valentini, F, *Diagnosing collisionless energy transfer using field-particle correlations: Alfvén-ion cyclotron turbulence*, J. Plasma Phys. **86**, 905860402, 2020 doi: 10.1017/S0022377820000689 **Citations: 19**
- [58]Martinović, M. M.°, Klein, K. G., et al(9 authors), *Solar Wind Electron Parameters Determination on Wind Spacecraft Using Quasi-Thermal Noise Spectroscopy* JGR, 2020 doi: 10.1029/2020JA028113 **Citations: 1**
- [57]Verscharen, D., Parashar, T. N., Gary, S. P., & Klein, K. G., *Dependence of kinetic plasma waves on proton-to-electron mass ratio and light-to-Alfvén speed ratio*, MNRAS, **494**, 2905-2911, 2020 doi: 10.1093/mnras/staa977 **Citations: 3**
- [56]Verniero, J. et al., (7th of 22 authors), *Parker Solar Probe Observations of Proton Beams Simultaneous with Ion-scale Waves* ApJS, **248** 5, 2020, doi: 10.3847/1538-4365/ab86af
Citations: 41
- [55]Lavraud, B, et al., (22nd of 39 authors), *The Heliospheric Current Sheet and Plasma Sheet during Parker Solar Probe’s First Orbit* ApJL, **894** L19, 2020, doi: 10.3847/2041-8213/ab8d2d **Citations: 28**
- [54]Whittlesey, P. L. et al., (12th of 22 authors), *The Solar Probe ANALysers - Electrons on Parker Solar Probe* ApJS, **246** 74, 2020, doi: 10.3847/1538-4365/ab7370 **Citations: 84**
- [53]Huang, J., Kasper, J., Vech, D., Klein, K. G. et al., (30 authors) *Proton Temperature Anisotropy Variations in Inner Heliosphere Estimated with First Parker Solar Probe Observations* ApJS, **246** 70, 2020, doi: 10.3847/1538-4365/ab74e0 **Citations: 46**
- [52]Bowen, T. S., Mallet, A, Huang, J., Klein, K. G. et al., (25 authors), *Ion Scale Electromagnetic Waves in the Inner Heliosphere* ApJS, **246** 66, 2020, doi: 10.3847/1538-4365/ab6c65 **Citations: 52**
- [51]Chen, C. H. K. et al., (13th of 24 authors), *The Evolution and Role of Solar Wind Turbulence in the Inner Heliosphere* ApJS, **246** 53, 2020, doi: 10.3847/1538-4365/ab60a3
Citations: 126
- [50]Vech, D., Kasper, J. C., Klein, K. G. et al. (20 authors), *Kinetic Scale Spectral Features of Cross Helicity and Residual Energy in the Inner Heliosphere*, ApJS, **246** 52, 2020, doi: 10.3847/1538-4365/ab60a2 **Citations: 11**
- [49]Bandyopadhyay, R. et al., (26th of 28 authors), *Enhanced Energy Transfer Rate Observed near the Sun from Parker Solar Probe* ApJS, **246** 48, 2020, doi: 10.3847/1538-4365/ab5dae **Citations: 49**
- [48]Horbury, T. S. et al., (14th of 24 authors), *Sharp Alfvénic Impulses in the near-Sun solar wind* ApJS, **246** 45, 2020, doi: 10.3847/1538-4365/ab5b15 **Citations: 99**
- [47]Case, A. W. et al., (17th of 18 authors), *The Solar Probe Cup on Parker Solar Probe* ApJS, **246** 43, 2020, doi: 10.3847/1538-4365/ab5a7b **Citations: 113**
- [46]Kim, T. K. et al., (22nd of 23 authors), *Predicting the Solar Wind at Parker Solar Probe using an Empirically Driven MHD Model* ApJS, **246** 40, 2020, doi: 10.3847/1538-4365/ab58c9 **Citations: 12**

- [45]Adhikari, L. et al., (11th of 11 authors), *Turbulent Transport Modeling and First Orbit Parker Solar Probe (PSP) Observations* ApJS, **246** 38, 2020, doi: 10.3847/1538-4365/ab5852 **Citations: 42**
- [44]Tenerani, A. et al., (13th of 21 authors), *Magnetic Field Kinks and Folds in the Solar Wind* ApJS, **246** 32, 2020, doi: 10.3847/1538-4365/ab53e1 **Citations: 72**
- [43]Martinović, M.°, Klein, K. G., et al., (21 authors), *The Enhancement of Proton Stochastic Heating in the near-Sun Solar Wind* ApJS, **246** 30, 2020, doi: 10.3847/1538-4365/ab527f **Citations: 21**
- [42]Giacalone, J. et al., (20th of 35 authors), *Solar Energetic Particles Produced by a Slow Coronal Mass Ejection at ~ 0.25 AU* ApJS, **246** 29, 2020, doi: 10.3847/1538-4365/ab5221 **Citations: 32**
- [41]Zhao, L. et al., (20th of 20 authors), *Identification of Magnetic Flux Ropes from PSP Observations during the First Encounter* ApJS, **246** 26, 2020, doi: 10.3847/1538-4365/ab4ff1 **Citations: 49**
- [40]Halekas, J. S. et al., (11th of 17 authors), *Electrons in the Young Solar Wind: First Results from Parker Solar Probe* ApJS, **246** 22, 2020, doi: 10.3847/1538-4365/ab4cec **Citations: 84**
- [39]Kasper, J. C. et al., (16th of 41 authors), *Alfvénic Velocity Spikes and Large Rotational Flows in the Near-Sun Solar Wind*, Nature, 2019, doi: 10.1038/s41586-019-1813-z **Citations: 236**
- [38]Verscharen, D., Klein, K. G., & Maruca, B.M. *The Multiscale Nature of the Solar Wind*, LRSP, 2019, 16:5 doi: 10.1007/s41116-019-0021-0 **Citations: 155**
- [37]Klein, K. G., Martinović, M.°, Stansby, D., & Horbury, T., *Linear Stability in the Fast Solar Wind: Helios Reëvaluated*, ApJ, **887** 234, 2019, doi: 10.3847/1538-4357/ab5802 **Citations: 15**
- [36]Yoon, P.H., Seough, J., Salem, C., & Klein, K. G., *Solar wind temperature isotropy*, PRL **123**, 145101, 2019, doi:10.1103/PhysRevLett.123.145101 **Citations: 18**
- [35]Sharma Pyakurel P.S. et al (8th of 12 authors) *Transition from ion-coupled to electron-only reconnection: Basic physics and implications for plasma turbulence* P. Plasma **26**, 082307, 2019, doi:10.1063/1.5090403 **Citations: 42**
- [34]Li, T. C., Howes, G. G., Klein, K. G., Liu, Y.H., & TenBarge, J.M. *Collisionless Energy Transfer in Kinetic Turbulence: Field-Particle Correlations in Fourier Space*, J. Plasma Phys., **85**, 905850406, 2019, doi:10.1017/S0022377819000515 **Citations: 14**
- [33]Martinović, M. M.°, Klein, K. G., & Bourouaine, S *Radial Evolution of Stochastic Heating in low- β Solar Wind* ApJ, **879** 43, 2019, doi:10.3847/1538-4357/ab23f4 **Citations: 14**
- [32]Mallet, A., Klein, K. G. et al (8 authors) *Interplay between intermittency and dissipation in collisionless plasma turbulence* J. Plasma Phys. **85**, 175850302, 2019, doi:10.1017/S0022377819000357 **Citations: 17**
- [31]Kasper, J. C. & Klein, K. G. *Strong Preferential Ion Heating is Limited to within the Solar Alfvén Surface*, ApJL, **877** L35, 2019, doi:10.3847/2041-8213/ab1de5 **Citations: 16**

- [30]Chen, C. H. K, **Klein, K. G.**, & Howes, G. G., *Evidence for Electron Landau Damping in Space Plasma Turbulence* Nature Communications **10** 740 2019, doi:10.1038/s41467-019-08435-3 **Citations: 97**
- [29]van der Holst, B., Manchester, W. B., **Klein, K. G.**, & Kasper, J. C. *Predictions of the First Parker Solar Probe Encounter*, ApJL **872** L18, 2019, doi:10.3847/2041-8213/ab04a5 **Citations: 20**
- [28]Hoppock, I.W., Chandran, B.D.G., **Klein, K. G.**, Mallet, A., & Verscharen, D. *Stochastic proton heating by kinetic-Alfvén-wave turbulence in moderately high- β plasmas* J. Plasma Phys. **84**, 905840615 2018, doi:10.1017/S0022377818001277 **Citations: 17**
- [27]Verscharen, D, **Klein, K. G.** et al (6 authors), *ALPS: The Arbitrary Linear Plasma Solver*, J. Plasma Phys. **84**, 905840403 2018, doi:10.1017/S0022377818000739 **Citations: 15**
- [26]Vech, D., **Klein, K. G.** & Kasper, J. C. *Large-scale Control of Kinetic Dissipation in the Solar Wind* ApJL **863** L4 2018, doi:10.3847/2041-8213/aad329 **Citations: 4**
- [25]Wilson III, L. B. et al (4th of 8 authors) *The Statistical Properties of Solar Wind Temperature Parameters Near 1 AU* ApJ Supp. **236** 41 2018, doi:10.3847/1538-4365/aab71c **Citations: 76**
- [24]**Klein, K. G.**, Alterman, B. L., Stevens, M. L., Vech, D., & Kasper, J. C. *Majority of Solar Wind Intervals Support Ion-Driven Instabilities* PRL **120**, 205102, 2018, doi:10.1103/PhysRevLett.120.205102 **Citations: 44**
- [23]Kunz, M. W., Abel, I. G., **Klein, K. G.** & Schekochihin, A. A., *Astrophysical gyrokinetics: Turbulence in pressure-anisotropic plasmas at ion scales and beyond*, J. Plasma Phys. **84**, 715840201 2018, doi:10.1017/S0022377818000296 **Citations: 15**
- [22]Vech, D, Mallet, A, **Klein, K. G.** & Kasper, J. C. *Magnetic Reconnection May Control the Ion-scale Spectral Break of Solar Wind Turbulence*, ApJL **855** L27 2018, doi:10.3847/2041-8213/aab351 **Citations: 37**
- [21]Howes, G. G., McCubbin, A. J. & **Klein, K. G.**, *Spatially Localized Particle Energization by Landau Damping in Current Sheets Produced by Alfvén Wave Collisions*, J. Plasma Phys. **84** 905840105, 2018, doi:10.1017/S0022377818000053 **Citations: 28**
- [20]Verniero, J. L., Howes, G. G., & **Klein, K. G.**, *Nonlinear energy transfer and current sheet development in localized Alfvén wavepacket collisions in the strong turbulence limit*, J. Plasma Phys. **84**, 905840103, 2018 doi:10.1017/S0022377817001003 **Citations: 12**
- [19]Vech, D., **Klein, K. G.**, & Kasper, J. C. *Nature of Stochastic Ion Heating in the Solar Wind: Testing the Dependence on Plasma Beta and Turbulence Amplitude*, ApJL **850** L11 2017, doi:10.3847/2041-8213/aa9887 **Citations: 32**
- [18]Kasper, J., **Klein, K. G.** et al (9 authors), *A Zone of Preferential Ion Heating Extends Tens of Solar Radii from the Sun* ApJ **849** 126, 2017 doi:10.3847/1538-4357/aa84b1 **Citations: 30**
- [17]**Klein, K. G.**, Kasper, J.C., Korreck, K.E., & Stevens, M.L. *Applying Nyquist's Method for Stability Determination to Solar Wind Observations*, J. Geophys. Res. Space Physics, **122**, 2017 doi:10.1002/2017JA024486 **Citations: 15**

- [16]**Klein, K. G.**, Howes, G. G., & TenBarge, J.M., *Diagnosing collisionless energy transfer using field-particle correlations: gyrokinetic turbulence*, J. Plasma Phys. **83**, 535830401, 2017 doi:10.1017/S0022377817000563 **Citations: 42**
- [15]**Klein, K. G.**, *Characterizing Fluid and Kinetic Instabilities using Field-Particle Correlations on Single-Point Time Series*, Phys. Plasmas **24**, 055901, 2017 doi:10.1063/1.4977465 **Citations: 16**
- [14]Howes, G. G., **Klein, K. G.**, & Li, T. C. *Diagnosing Collisionless Energy Transfer Using Field-Particle Correlations: Vlasov-Poisson Plasmas*, J. Plasma Phys. **83**, 705830102, 2017 doi:10.1017/S0022377816001197 **Citations: 41**
- [13]Li, T. C., Howes, G. G., **Klein, K. G.**, & TenBarge, J. M. *Energy Dissipation and Landau Damping in Two- and Three-Dimensional Plasma Turbulence*, ApJL **832** L24, 2016, doi:10.3847/2041-8205/832/2/L24 **Citations: 36**
- [12]Verscharen, D., Chandran, B. D. G., **Klein, K. G.** & Quataert, E. *Collisionless Isotropization of the Solar Wind by Compressive Fluctuations and Plasma Instabilities*, ApJ **831** 128, 2016, doi:10.3847/0004-637X/831/2/128 **Citations: 43**
- [11]**Klein, K. G.** & Howes, G. G. *Measuring Collisionless Damping in Heliospheric Plasmas using Field-Particle Correlations*, ApJ Lett **826** L30, 2016, doi:10.3847/2041-8205/826/2/L30 **Citations: 53**
- [10]**Klein, K. G.** & Chandran, B. D. G. *Evolution of the Proton Velocity Distribution due to Stochastic Heating in the Near-Sun Solar Wind*, ApJ **820** 47, 2016, doi:10.3847/0004-637X/820/1/47 **Citations: 21**
- [9]Chandran, B. D. G., Perez, J. C., Verscharen, D., **Klein, K. G.**, & Mallet, A *On the Conservation of Cross Helicity and Wave Action in Solar-Wind Models with non-WKB Alfvén Wave Reflection*, ApJ **811** 50, 2015, doi:10.1088/0004-637X/811/1/50 **Citations: 6**
- [8]**Klein, K. G.** & Howes, G. G. *Predicted Impacts of Proton Temperature Anisotropy on Solar Wind Turbulence*, Phys. Plasmas, **22**, 032903, 2015, doi:10.1063/1.4914933 **Citations: 44**
- [7]**Klein, K. G.**, Perez, J. C., Verscharen, D., Mallet, A., & Chandran, B. D. G. *A Modified Version of Taylor's Hypothesis for Solar Probe Plus Observations* ApJ Lett, **801** L18, 2015, doi:10.1088/2041-8205/801/1/L18 **Citations: 23**
- *[6]**Klein, K. G.**, Howes, G. G., & TenBarge, J. M. *The Violation of the Taylor Hypothesis in Measurements of Solar Wind Turbulence*, ApJ Lett, **790** L20, 2014, doi:10.1088/2041-8205/790/2/L20 **Citations: 41**
- *[5]Howes, G. G., **Klein, K. G.**, & TenBarge, J. M. *Validity of the Taylor Hypothesis for Linear Kinetic Waves in the Weakly Collisional Solar Wind*, ApJ, **789** 106, 2014, doi:10.1088/0004-637X/789/2/106 **Citations: 58**
- *[4]**Klein, K. G.**, Howes, G. G., TenBarge, J. M., & Podesta, J. J. *Physical Interpretation of the Angle Dependent Magnetic Helicity Spectrum in the Solar Wind: The Nature of Turbulent Fluctuations near the Proton Gyroradius Scale* ApJ, **785** 138, 2014, doi:10.1088/0004-637X/785/2/138 **Citations: 55**
- *[3]**Klein, K. G.** et al (6 authors) *Using Synthetic Spacecraft Data to Interpret Compressible Fluctuations in Solar Wind Turbulence*, ApJ, **755** 159, 2012, doi:10.1088/0004-637X/755/2/159 **Citations: 87**

*[2]Howes, G. G., Bale, S. D., **Klein, K. G.** et al (6 authors) *The slow-mode nature of compressible wave power in solar wind turbulence*, ApJ Lett, **753** L19, 2012, doi:10.1088/2041-8205/753/1/L19 **Citations: 133**

*[1]TenBarge, J. M., Podesta, J. J., **Klein, K. G.**, & Howes, G. G. *Interpreting Magnetic Variance Anisotropy Measurements in the Solar Wind*, ApJ **753** 107, 2012, doi:10.1088/0004-637X/753/2/107 **Citations: 59**

CONFERENCE PROCEEDINGS & WHITE PAPERS [8]

Martinović, M., **Klein, K. G.** & Krishnan, H. G. *Wind/Waves Antenna Length Determined Using Quasi-Thermal Noise Spectroscopy*, RNAAS, 2022, doi: 10.3847/2515-5172/ac8b0a

Huang, J. et al., (5th of 32 authors) *Alfvénic Slow Solar Wind Observed in the Inner Heliosphere by Parker Solar Probe*, arxiv:2005.12372 **Citations: 4**

Chen, L. J. et al. (10th of 21 authors) *Challenges and the next transformative steps in understanding plasma turbulence from the perspective of multi-spacecraft measurements* arxiv:1908.04192 **Citations: 1**

Klein, K. G. & Vech, D. *Solar Wind Plasma Parameter Distributions at 1 au*, RNAAS, 2019, doi: 10.3847/2515-5172/ab3465 **Citations: 3**

Klein, K. G. et al. (24 authors) *Multipoint Measurements of the Solar Wind: A Proposed Advance for Studying Magnetized Turbulence* arXiv:1903.05740 **Citations: 15**

TenBarge, J. M. et al. (11th of 29 authors) *Disentangling the Spatiotemporal Structure of Turbulence Using Multi-Spacecraft Data*, arXiv:1903.05710 **Citations: 6**

Matthaeus, W. H. (21st of 49 authors) *The essential role of multi-point measurements in turbulence investigations: the solar wind beyond single scale and beyond the Taylor Hypothesis*, arXiv:1903.06890 **Citations: 8**

*Howes, G. G., **Klein, K. G.**, & TenBarge, J. M. *The Quasilinear Premise for the Modeling of Plasma Turbulence*, arXiv:1404.2913 **Citations: 13**

*Chen, C. H. K. et al (5th of 6 authors) *Kinetic Scale Density Fluctuations in the Solar Wind* Solar Wind 13 Proceedings **1539** 143, 2013, doi:10.1063/1.4811008 **Citations: 31**

OTHER

CURRICULA

SCHOLARSHIP *Principles of Planetary Physics* (PTYS 505A)

An introductory graduate course on the physics of planetary and interplanetary gases, fluids, and plasmas. Specific topics include thermodynamics, kinetic theory, plasma physics, hydrodynamics, and magnetohydrodynamics, all with solar-system applications.

Introduction to Plasma Physics (PTYS 414/514)

An upper-level undergraduate/beginning graduate course on basic plasma physics processes, including single particle motion, magnetohydrodynamic and fluid models, linear waves, kinetic theory, plasma stability, and magnetic reconnection.

The Physics of the Sun (PTYS 537)

A graduate course on solar magnetic fields, solar interior and helioseismology, radiative transfer, solar-energetic particles, the structure of the solar atmosphere and stellar winds, and the physics of sunspots and flares.

Earth: The Formation of a Habitable World (PTYS 170A1)

An undergraduate general education course developing a planetary science perspective on the physical, chemical, and geological processes that governed the formation of our planet and continue to drive its evolution.

COMPUTER PROGRAMS

Plasma in a Uniform Magnetized Environment (PLUME; FORTRAN)

A numerical linear Vlasov-Maxwell dispersion solver for a collisionless plasma with an arbitrary number of Bi-Maxwellian ion and electron velocity distributions, with distinct densities, drift velocities, and anisotropic temperatures. PLUME returns the complex frequency solution to the dispersion relation as well as the electromagnetic and fluid eigenfunctions, and allows for an arbitrary scan through the any of the underlying plasma parameters, c.f. Klein & Howes 2015 PoP [8] and used in [28,32,35,70]. This code is used as the core of the numerical Nyquist instability solver, described in Klein et al. 2017 JGR [17], and used in [24,37,56,64,65,80]

Arbitrary Linear Plasma Solver (ALPS; FORTRAN)

A numerical linear Vlasov-Maxwell dispersion solver for a collisionless plasma that allows for an arbitrary gyrotropic distribution of ions and electrons in momentum space. ALPS returns the complex frequency solution to the dispersion relation in both the relativistic and non-relativistic limits and allows for an arbitrary scan of the solutions as a function of wavevector. c.f. Verscharen, Klein, et al 2018 JPP [27] and used in [57].

MEDIA

Parker Solar Probe Launch (Fall 2018)

Provided expert interviews for press coverage of Parker Solar Probe launch. Quoted in a number of local, domestic, and international articles, including on **CNN** and **CNET**.

Predictions for Crossing the Alfvén Surface (Summer 2019, Winter 2021)

Provided expert interviews for prediction of 'region of preferential heating' below the Alfvén surface in advance of PSP entering this region, associated with publication of **Kasper & Klein 2019 ApJL**. Quoted in various domestic and international articles, including **Science Daily** and **NASASpaceflight.com**. Provided additional interviews when PSP crossed this surface, e.g. an article in **Futurity**, coinciding with publication of **Kasper, Klein, et al 2021 PRL**.

Parker Solar Probe First Results (Winter 2019)

Quoted in online articles, e.g. this article from **Futurity**, coinciding with the release of the first light papers from PSP published in Nature in Winter 2019.

HelioSwarm Selection (Spring 2022)

Provided interviews to coincide with announcement of NASA selection of HelioSwarm mission, used in local **online** and **radio** articles.

CONFERENCES/ SCHOLARLY PRESENT.

INVITED CONFERENCE PRESENTATIONS [22]

An Overview of the HelioSwarm Observatory

ISSI Workshop 556 "Cross-Scale Energy Transfer in Space Plasmas" Feb 2023

Beyond Brazil: The Role of Beams and Secondary Populations in Ion-Scale Instabilities

ISSI Workshop 563 "Ion Kinetic Instabilities in the Solar Wind in Light of Parker Solar Probe and Solar Orbiter Observations", November 2022

Solar Wind Turbulence: From the Alfvén Surface to 1 au

Asia Oceania Geosciences Society 19th Annual Meeting, August 2022

Kinetic Physics of Dissipation Royal Society Theo Murphy Meeting on Turbulent

Dissipation in Space Plasmas, May 2022

HelioSwarm: Quantifying Turbulence with a Multi-Point, Multi-Scale Observatory

AGU, Dec 2021

Tutorial: Parker Solar Probe: Advancing our Understanding of Plasmas in the

Young Solar Wind APS-DPP Nov 2021

- Solar Wind Turbulence from the Near-Sun Environment to Earth* Association of Asia Pacific Physical Societies Division of Plasma Physics, Sept. 2021
- Parker Solar Probe: Advancing Our Understanding of Plasma Processes in the Young Solar Wind* MagNetUS, Aug. 2021
- Alfvenic Jets, Waves, and Turbulence: Initial Results from Parker Solar Probe's first three orbits* COSPAR, Jan 2021
- New Plasma Physics Pushing Closer to the Sun with PSP/SWEAP* AGU, Dec 2020
- The Distribution of Ion-Driven Instabilities in the Inner Heliosphere* 19th International Astrophysics Conference, March 2020
- Kinetic Instabilities in Magnetized, Collisionless Plasmas* 12th Wolfgang Pauli Plasma Kinetics Working Meeting, Aug. 2019
- A Preferential Ion Heating Zone Near The Sun: What is it, Where is it, and What Drives it?* 18th International Astrophysics Conference, Feb. 2019
- Tracking Energization and Dissipation in the Near-Sun Environment with Parker Solar Probe* AGU TESS, May. 2018
- How Unstable is the Solar Wind? Evaluating in situ Observations using Nyquist's Criterion* 17th International Astrophysics Conference, Mar. 2018
- Using Velocity-Space Structure of Field-Particle Correlations to Characterize Energy Transfer in Space and Laboratory Plasmas* IPELS, June 2017
- Applying Field-Particle Correlations to Assess Turbulent Heating in the Solar Wind* EGU, Apr. 2017
- A General Method for Instability Identification in Solar Wind Observations Illustrated by Particular Application to WIND Measurements* 16th International Astrophysics Conference, Mar. 2017
- Identifying and Characterizing Kinetic Instabilities using Solar Wind Observations of Non-Maxwellian Plasmas* AGU, Dec. 2016
- A Field-Particle Correlation Technique to Explore the Collisionless Damping of Plasma Turbulence* APS-DPP, Nov. 2016
- Diagnostics for Comparing Turbulence in Solar Wind Observations and Numerical Simulations* AGU, Dec. 2014
- Quantitative Comparisons between Turbulence Simulations and Solar Wind Observations* SHINE Workshop, June 2014
- INVITED COLLOQUIUM PRESENTATIONS [34]**
- The Solar Wind: A Natural Laboratory for Studying Plasma Turbulence* Aspen Center for Physics Seminar, July 2022
- Solar Wind Turbulence: From the Alfvén Surface to 1 au* NASA Goddard Heliophysics Science Directorate Colloquium, July 2022
- HelioSwarm: Characterizing Turbulence in Space Plasma* Mullard Space Science Laboratory Seminar, University College London, June 2022
- HelioSwarm: A Multi-spacecraft Mission to Study Turbulence in Space Plasmas* UT Austin Plasma Physics Colloquium, Feb. 2022
- Advancing our understanding of plasma processes in the young solar wind: Recent results from Parker Solar Probe* MIT Plasma Science and Fusion Center Seminar, Nov. 2021
- HelioSwarm: Revealing the Nature of Turbulence in Space Plasmas* UC Berkeley Space Science Series, September 2021
- Plasma Instabilities in the Young Solar Wind: Thermodynamics far from Equilibrium*

UArizona Applied Maths Colloquium, April 2021
HelioSwarm: Using Multi-Point, Multi-Scale Observations to Uncover the Nature of Turbulence in Space Plasmas UNH Space Physics Colloquium, April 2021
Leveraging Multi-Point, Multi-Scale Observations to Uncover the Nature of Turbulence in Space Plasmas JPP Frontiers of Plasma Physics Colloquium, April 2021
Plasma Instabilities in the Young Solar Wind UIowa Physics and Astronomy Colloquium, March 2021
Thermodynamics in the Young Solar Wind UArizona Applied Math Seminar, March 2021
Inferred Linear Stability of SPANi Observations using One- and Two-Component Proton Velocity Distributions Parker Solar Probe Theory Working Group, Feb 2021
Plasmas far from Equilibrium Harvard Center for Astrophysics, GCSP Seminar, Feb 2021
Plasma Heating and Instabilities in the Young Solar Wind: Thermodynamics far from Equilibrium Imperial College, Space Physics Seminar, July 2020
Instabilities and Plasma Heating in the Inner Heliosphere: Thermodynamics far from Equilibrium Princeton Plasma Physics Laboratory, Heliospheric Seminar, March 2019
Thermodynamics far from Equilibrium: Studying Instabilities and Plasma Heating in the Solar Wind University College, London, Space Physics Seminar, Jan 2019
A Majority of Solar Wind Intervals Support Ion-Driven Instabilities Wind Science Telecon, April 2018
Testing The Frequency of Ion-Driven Instabilities in the Solar Wind Parker Solar Probe Science Working Group, Jan. 2018
A Rosetta Stone for Solar Probe Plus Heating Science SWEAP Science Team Meeting, Dec. 2016
Can Field-Particle Correlations be used to Discern the Nature of Solar Wind Heating? Solar Probe Plus Theory Working Group, Nov. 2016
Assessing Solar Wind Heating Through Measurement of Velocity Distribution Functions Solar Probe Plus Science Working Group, Sept. 2016
Velocity Space Signatures of Turbulent Dissipation Space Science Seminar - University of New Hampshire, April 2016
Reevaluating Taylor's Hypothesis in the Era of Solar Probe Plus Solar Probe Plus Science Working Group, Feb. 2016
Characterizing Solar Wind Turbulence with Kinetic Plasma Physics Space & Planetary Physics Seminar - University of Michigan, Jan. 2016
Observable Signatures of Stochastic Heating in the Near-Sun Solar Wind SWEAP Science Team Meeting - UC Berkeley, Dec. 2015
Signatures of Kinetic Damping in Collisionless Plasmas FIELDS Science Team Meeting - UC Berkeley, Dec. 2015
Applying Taylor's Hypothesis to Solar Probe Plus Perihelion Measurements FIELDS and STEREO/WAVES Science Team Meeting - UC Berkeley, Dec. 2014
Understanding Solar Wind Turbulent Fluctuations using Linear Kinetic Physics Plasma Physics Seminar - University of Maryland, Oct. 2014
Mapping the Kinetic Physics of Proton Temperature Anisotropies in Phase Space Space Plasma Physics Seminar - Goddard Space Flight Center, Oct. 2014
Linear Kinetic Physics of Turbulent Fluctuations in the Solar Wind Space Science Seminar - University of New Hampshire, March 2014
How Will the Violation of Taylor's Hypothesis Alter Turbulent Power Spectra

Measured by Solar Probe Plus?

SWEAP Science Team Meeting - UC Berkeley, Dec. 2013

Identification of Compressive Fluctuations in the Solar Wind Inertial Range

Plasma Physics Seminar- University of Iowa, Oct. 2012

Using Synthetic Time Series to Understand Compressive Solar Wind Turbulence

Plasma Physics Seminar- University of Iowa, March 2011

Using MHD Theory to Understand Satellite Measurements in the Heliosphere

Plasma Physics Seminar- University of Iowa, May 2010

CONTRIBUTED CONFERENCE PRESENTATIONS [51]

Studying Plasma Turbulence Using Multi-point, Multi-scale Measurements: Intermittency, Anisotropy, Correlation Scales, and Cascade Rates

AGU Fall Meeting, Dec 2022

Characterizing Plasma Turbulence Using Sparse, Multi-point, Multi-scale Measurements

APS-DPP, Oct 2022

HelioSwarm: The Nature of Turbulence in Space Plasma SHINE, July 2022

HelioSwarm: The Nature of Turbulence in Space Plasma EGU, May 2022

The Scale-Filtered Correlation: A Frequency-and-Velocity Resolved Method for Characterizing the Resonant Impact of Waves AGU 2021

Inferred Linear Stability of PSP/SPAN-i Observations Modeled with One- and Two- Component Proton Distributions Parker One Conference, June 2021

HelioSwarm: Leveraging Multi-Point, Multi-Scale Spacecraft Observations to Characterize Turbulence EGU, April 2021

HelioSwarm: The Nature of Turbulence in Space Plasmas COSPAR, Jan 2021

Turbulence Analysis using Multi-Point, Multi-Scale Spacecraft Observations APS-DPP, Nov 2020

The Prevalence of ion-scale instabilities in the near-Sun Environment AGU, Dec 2019

The Ubiquity of Ion-Driven Instabilities in the Inner Heliosphere APS-DPP, Oct 2019

HelioSwarm: Revealing the Transfer of Energy Across Scales and Boundaries in Plasmas Throughout the Universe 18th International Astrophysics Conference, Feb. 2019

Testing the Utility of a Swarm of Spacecraft to Study Magnetized Turbulence 233rd AAS Meeting, Jan. 2019

On the Frequency and Drivers of Ion Scale Instabilities in the Solar Wind AGU, Dec 2018

The Occurrence Rate of Ion Driven Instabilities in the Solar Wind APS-DPP, Nov 2018

Comparing Energy Transfer in High and Low Frequency Alfvénic Turbulence APS-DPP, Nov 2018

Ion Free-Energy Sources Drive Instabilities in Half the Solar Wind SHINE, July 2018

Numerical Preparations Toward Identifying Heating Mechanisms using Distribution Function Measurements from SWEAP and Parker Solar Probe AGU, Dec. 2017

Reassessing Solar Wind Stability using Nyquist's Method APS-DPP, Oct. 2017

Numerical Support for Applying Field-Particle Correlations to Space and Laboratory Plasmas APS-DPP, Oct. 2017

An Automated Calculation of Plasma Instability for in situ Parker Solar Probe Measurements Parker Solar Probe Science Working Group Meeting, Oct 2017

Using the Arbitrary Linear Plasma Solver (ALPS) to characterize non-Maxwellian features in the solar wind SHINE Workshop, July 2017

- Novel Plasma Instability Studies using the Nyquist Stability Criteria on Solar Wind Observations*, National Academy of Science & Chinese Academy of Sciences Forum for New Leaders in Space Science, Woods Hole, Mass. May 2017
- Applying an Efficient Method for Identifying Instabilities to Solar Wind Observations* EGU, Apr. 2017
- Application of Field-Particle Correlations to Space and Laboratory Plasmas* Bringing Space Down to Earth, UCLA, Apr. 2017
- Field-Particle Correlations as a Measure of Turbulent Damping in Collisionless Plasmas* AGU, Dec. 2016
- Observing the Kinetic Signature of Turbulent Damping in Numerical Simulations and Solar Wind Observations* National Academy of Science & Chinese Academy of Sciences Forum for New Leaders in Space Science, Beijing, China, Dec. 2016
- A Method of Measuring Turbulent Heating using Field-Particle Correlations from Single Spacecraft Observations*, Arcetri Workshop on Plasma Astrophysics, Oct. 2016
- Identifying Resonant Damping in Turbulence using Field-Particle Correlations* SHINE Workshop, July 2016
- Secular Field-Particle Energy Transfer in a Turbulent, Gyrokinetic System* Fifth International Vlasovia Workshop, Calabria, Italy, May 2016
- A Role for Stochastic Heating in the Near-Sun Environment* AGU, Dec. 2015
- Signatures of Heating via Landau Damping in Nearly Collisionless Plasmas* APS-DPP, Nov. 2015
- Stochastic Heating and Diffusion in the Near-Sun Solar Wind* APS-DPP, Nov. 2015
- Stochastic Ion Heating in the Near-Sun Environment* Turbulence and Dissipation in Collisionless Astrophysical Plasmas, Cargese, France Sept. 2015
- Predictions for Solar Probe Plus: The Applicability of the Taylor Hypothesis and Signatures of Stochastic Ion Heating* Joint Solar Probe Plus-Solar Orbiter Workshop, Florence, Italy, Sept. 2015
- Effects of Proton Temperature Anisotropy on Unstable Eigenmodes and Solar Wind Turbulence* SHINE Workshop, July 2015
- Impact of Proton Temperature Anisotropy and Instability on Solar Wind Turbulence* Turbulence, Magnetic Fields, and Self-Organization in Laboratory and Astrophysical Plasmas - Winter School, Les Houches, France, March 2015
- Predictions for Near Sun Turbulent Spectra from Synthetic Time Series* AGU, Dec. 2014
- Predictions for in situ Observations of Turbulent Power Spectra within the Alfvén Critical Point* APS-DPP, Oct. 2014
- Simulation of Alfvén Wave Distribution Function Structure* SHINE Workshop, June 2014
- How Will the Violation of Taylor's Hypothesis Alter the Turbulent Power Spectra Measured by Solar Probe Plus?* AGU, Dec. 2013
- Effects of the Violation of Taylor's Hypothesis on Observed Turbulent Power Spectra in the Solar Wind* APS-DPP, Nov. 2013
- Uses and Limitations of Synthetic Spacecraft Data for Studying Solar Wind Turbulence Models*, SHINE Workshop, June 2013
- Identification of Linear Modes in the Solar Wind Dissipation Range Using Magnetic Helicity* The Future of Plasma Astrophysics, Feb. 2013
- Using Plasma Metrics as a Diagnosis of Solar Wind Mode Composition* APS-DPP, Nov. 2012

Analyzing Compressive Fluctuations at Inertial and Dissipative Scales in Solar Wind Turbulence using Linear Synthetic Data and Non-linear Simulations

SHINE Workshop, June 2012

Identification of Compressive Fluctuations in the Solar Wind Inertial Range

APS-DPP, Nov. 2011

Interpreting Compressive Solar Wind Fluctuations using Synthetic Spacecraft Data

SHINE Workshop, July 2011

Understanding Compressive Fluctuations in Solar Wind Turbulence using Synthetically Generated Data APS-DPP, Nov. 2010

Numeric Solution of Plasma Impulse Response with Model Fokker-Planck Operator

American Physical Society-Prairie Section, Nov. 2009

Branching Fraction Measurements for Dipion Transitions

American Physical Society- April Meeting, April 2008

AWARDED
GRANTS AND
CONTRACTS

HelioSwarm: The Nature of Turbulence in Space Plasma

NASA Medium Class Explorer Mission, 2022-2030

Deputy Principal Investigator, \$263,442 subcontract to UArizona.

Total Mission Cost Cap \$250 million.

Principal Investigator: Harlan Spence (UNH)

Project Manager: Butler Hines (NASA Ames)

Project System Engineer: Brittney Wickizer (NASA Ames)

Non-linear Solar Wind Turbulent Heating from 0.08 to 5.2 AU

NASA Living with a Star Research Grant, 2022-2026.

UArizona Principal Investigator Mihailo Martinović

Co-Investigator, \$965,355 total award

Responsible for 5% of overall collaborative proposal.

Improved Characterization of Solar Wind Dissipation, Energization, and Stability

NASA Early Career Investigator Program, 2019-2024

Principal Investigator for \$607,946 total funding.

Sole Investigator, responsible for 100% of effort on grant.

Co-Is: None

SWEAP Investigation: Wave Particle Correlation

NASA Parker Solar Probe Phase E Activities, 2020-2026

Subcontract from Smithsonian Astrophysical Observatory (PI Justin Kasper).

Principal Investigator of \$270,946 subcontract. (Total Mission Cost ~ \$1.5 Billion)

Sole Investigator on subcontract, responsible for 5% of overall contract activities.

Co-Is: SWEAP Science Team

University of Michigan: Justin Kasper

Smithsonian Astrophysical Observatory: Tony Case, Leon Golub, Kelly Korreck,

Michael Stevens

University of California, Berkeley: Stuart Bale, Davin Larson, Roberto Livi,

Jim McFadden, Phyllis Whittlesey

JHU - Applied Physics Lab: George Ho

Laboratoire de Physique des Plasmas: Matthieu Berthomier

Los Alamos National Lab: Peter Gary, Ruth Skoug, John Steinberg

Massachusetts Institute of Technology: John Belcher, John Richardson

NASA - Goddard Space Flight Center: Adam Szabo

NASA - Marshall Space Flight Center: Dennis Gallagher
University of Alabama, Huntsville: Qiang Hu, Nikolai Pogorelov, Gary Zank
University of Colorado, Boulder: Steven Cranmer
University of Iowa: Jasper Halekas
University Space Research Association: Ken Wright

*Coupling of Electron and Ion Kinetic Physics via Collisions and Instabilities
in the Expanding Solar Wind*

NASA Heliophysics Supporting Research Grant, 2019-2022
Subcontract from University of Maryland (PI Peter Yoon).
Co-Investigator for \$67,948 subcontract. (~\$366,000 total award)
Sole UArizona Principal Investigator, responsible for 10% of overall grant activities.
Co-Is: Dr. Chadi Salem (SSL)

*Hybrid Kinetic-GRMHD simulations of Black Hole Accretion with
Data-Calibrated Electron Physics*

NASA Astrophysics Theory Program, 2020-2023
Contract to UArizona Dept. Astronomy (PI Feryal Ozel).
Co-Investigator, Total UArizona Budget \$470,310.
Responsible for 15% of overall grant activities.
Co-Is: Prof. Dimitrios Psaltis (UArizona)

Parametric Instability in the Inner Heliosphere

NASA Heliophysics Supporting Research Grant, 2019-2022
Subcontract from University of New Hampshire (PI Benjamin Chandran).
Co-Investigator, \$76,689 sub-contract. (~\$404,00 total award)
Sole UArizona Investigator, responsible for 10% of overall grant activities.
Co-Is: Prof. Stuart Bale, Dr. Trevor Bowen (SSL)
Prof. Kai Germanschewski, Mr. Kyle Morman (UNH)

*Investigation of Electron Parameter Statistical Distributions and Association with
Structures using QTN Spectroscopy*

NASA Unsolicited Grant, 2019-2022
Principal Investigator \$150,792 total funding.
Sole UArizona Principal Investigator, responsible for 100% of grant activities.
Co-Is: Dr. Mihailo Martinović (UArizona)

Assessing the Relative Importance of Stochastic and Resonant Ion Heating the Solar Wind

NASA Heliophysics Supporting Research Grant, 2016-2019
Principal Investigator \$377,000 total funding.
Sole UArizona Principal Investigator, responsible for 100% of grant activities.
Co-Is: Prof. Benjamin Chandran (UNH) Dr. Sofiane Bourouaine (FIT)

*Vlasov-Maxwell Simulations to Resolve Electron Heating and Dissipation in
Quasi-Perpendicular Shocks*

NSF-DOE Basic Plasma Physics, 2019-2022,
Collaborative Proposal with Princeton University (PI Jason TenBerge)
and University of Maryland (PI Li-Jen Chen)
Co-Principle Investigator, \$73,749.
Sole UArizona Investigator, responsible for 15% of overall collaborative proposal.
Co-Is: Dr. Shan Wang (UMaryland)

*Non-Maxwellian Distribution Functions in the Solar Wind:
Dispersion Relations and Instabilities*

NASA Heliophysics Supporting Research Grant, 2016-2020,

Subcontract from University of New Hampshire (PI Benjamin Chandran).

Co-Investigator, \$150,000 sub-contract (\$502,919 total award).

Sole UArizona Investigator, responsible for 35% of overall grant effort.

Co-Is: Dr. Daniel Verscharen (UCL) Prof. Stuart Bale, Dr. Chadi Salem (SSL)

Dr. Michael Stevens (SAO)

Understanding the Nature of Turbulent Fluctuations in the Near-Sun Solar Wind

NSF AGS Postdoctoral Research Fellowship, 2014-2016

Principal Investigator, \$172,000 total funding.

Sole Investigator, responsible for 100% of overall proposal.

SUBMITTED
GRANTS &
CONTRACTS

PENDING APPLICATIONS

Leveraging a 10-moment, 2-fluid solver to investigate pressure anisotropy-driven instabilities NASA FINESST 2022-2025

Principal Investigator, \$150,000 total award.

Future Investigator Jada Walters⁴

Mathematical Analysis of Multi-Point Spacecraft Observatory Data

NASA FINESST 2022-2025

Principal Investigator, \$150,000 total award.

Future Investigator Teddy Broeren⁵

Heating of the Expanding Solar Wind Through Imbalanced Kinetic Turbulence and Alfvénic Switchbacks

NASA Heliospheric Supporting Research Grant, 2022-2025.

Subcontract from Princeton University (PI Matthew Kunz)

Co-Investigator, \$96,309 subcontract (\$579,032 total award).

Sole UArizona Investigator, responsible for 15% of overall collaborative proposal.

UNAWARDED APPLICATIONS

Is Alfvén Wave Turbulence Heating and Accelerating the Solar Wind?

NASA Parker Solar Probe Guest Investigator, 2021-2024.

Subcontract from University of Michigan (PI Bart van der Holst)

Co-Investigator, \$112,526 subaward (\$449,781 total award)

Sole UArizona Investigator, responsible for 10% of overall collaborative proposal.

Mathematical Analysis of Multi-Point Spacecraft Observatory Data

NASA Minority Serving Institution Fellowship 2022-2025

Principal Investigator, \$150,000.

Co-Investigator Teddy Broeren⁶

Investigation of Solar Wind Heating Development in Plasma Streams from Photosphere to 1 AU NASA Heliophysics Observatory Connect Research Grant, 2020-2023.

UArizona Principal Investigator Mihailo Martinović

Co-Investigator, \$1,643,507 total award

Responsible for 10% of overall collaborative proposal.

Kinetic Turbulence, Instabilities, and Particle Heating in an Expanding Solar Wind

NASA Heliospheric Supporting Research Grant, 2020-2023.

Subcontract from Princeton University (PI Matthew Kunz)

⁴Graduate student who will be leading proposed research effort.

⁵id.

⁶id.

Co-Investigator, \$56,676 subcontract (\$588,126 total award)

Sole UArizona Investigator, responsible for 15% of overall collaborative proposal.

DRIVE Center for Kinetic Heliophysics

NASA DRIVE Center Proposal, 2019-2021.

Subcontract from University of Iowa (PI Gregory Howes)

Co-Investigator, \$116,100 subcontract (~\$1.3 Million total award)

Sole UArizona Investigator, responsible for 5% of overall collaborative proposal.

Heliospheric and Coronal Turbulence Integrated Center (HeCTIC)

NASA DRIVE Center Proposal, 2019-2021.

Subcontract from University of Michigan (PI Ward Manchester)

Co-Investigator, \$180,529 subcontract (~\$1.3 Million total award)

Sole UArizona Investigator, responsible for 5% of overall collaborative proposal.

Quantifying Phase-Space Fluctuations of Solar-Wind Ions

NASA Heliospheric Guest Investigator Open, 2019-2022.

Subcontract from University of Delaware (PI Bennett Maruca)

Co-Investigator, \$142,761 subcontract (\$523,426 total award)

Sole UArizona Investigator, responsible for 15% of overall collaborative proposal.

Last updated: February 11, 2023