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2 **Trail formation by ice-shoved “sailing stones” observed at**
3 **Racetrack Playa, Death Valley National Park**

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5 **Ralph D. Lorenz^{1*}, James M. Norris², Brian K. Jackson³, Richard D. Norris⁴, John**
6 **W. Chadbourne⁵ and Jib Ray²**

7

8 ¹ Applied Physics Laboratory, The Johns Hopkins University, Laurel, Maryland.

9 ² Interwoof, Santa Barbara, California.

10 ³ Dept. of Terrestrial Magnetism, Carnegie Institution for Science, Washington DC

11 ⁴ Scripps Institution of Oceanography, La Jolla, California.

12 ⁵ University of Portland, Oregon

13

14 * corresponding author. Tel: +1 443 778 2903 Fax +1 443 778 8939 email: Ralph.lorenz@jhuapl.edu

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16

17 **Abstract**

18 Trails in the usually-hard mud of Racetrack Playa in Death Valley National Park attest to the
19 seemingly-improbable movement of massive rocks on an exceptionally flat surface. The
20 movement of these rocks, previously described as ‘sliding stones’, ‘playa scrapers’, ‘sailing
21 stones’ etc., has been the subject of speculation for almost a century but is an exceptionally rare
22 phenomenon and until now has not been directly observed. Here we report documentation of
23 multiple rock movement and trail formation events in the winter of 2013-2014 by in-situ
24 observation, video, timelapse cameras, a dedicated meteorological station and GPS tracking of
25 instrumented rocks. Movement involved dozens of rocks, forming fresh trails typically of 10s of
26 meters length at speeds of ~5cm/s and were caused by wind stress on a transient thin layer of
27 floating ice. Fracture and local thinning of the ice decouples some rocks from the ice movement,
28 such that only a subset of rocks move in a given event.

29

30 **1 Introduction**

31 Racetrack Playa in Death Valley National Park, California is a nearly flat 4.5x2 km lakebed. The
32 Racetrack is in many ways typical in morphology for playa lakes (indeed, almost identical in
33 planform with a lake on Saturn’s moon Titan, e.g. Lorenz et al., 2010a) but is distinguished by
34 its relatively high elevation at 1300m, and by the remarkable (e.g. Sharp and Carey, 1976)
35 presence of hundreds of rocks (usually cobbles or small boulders, but some up to ~300kg) that
36 litter its surface. These rocks (mostly a dark grey dolomite and a few granite boulders) are very
37 distinct against the uniform tan playa clay and often appear at the end of trails or furrows in the
38 playa surface. These trails suggest that the rocks have moved across the surface when the playa
39 was wet and have been considered a ‘mystery’ for over half a century, for which various
40 scientific and nonscientific explanations have been proposed.

41 Much attention has been directed towards documenting the rocks and their movements (e.g.
42 Kirk, 1952; Stanley, 1955; Schumm, 1956; Sharp and Carey, 1976; Reid et al., 1995; Messina,
43 1998) and to speculating upon the conditions under which they are induced to move. However,

44 the playa's isolated location and the extreme rarity of movement events mean trail formation has
45 until now never been observed, and the conditions under which it occurs have not been
46 documented. It is generally accepted that the playa surface must be wet, softening the clay to
47 allow trail formation, and that the agency for the rock movement is wind, but significant
48 unknowns are whether the movement is fast or slow (and the extent to which ice is required to
49 facilitate motion). For example, Sharp and Carey, 1976, interpreted blobs of mud at the end of
50 rock trails as indicating movement of $>1\text{m/s}$, which favored a purely wind-driven sliding
51 movement, whereas Creutz (1962) speculated that slow movement by gentle tilts caused by clay
52 swelling might be responsible. These various ideas are reviewed in detail by Messina (1998).
53 Reid et al., (1995) called attention to the congruence of many trails, suggesting a mechanical
54 connection between rocks, supporting an ice-driven model, which had been favored by Stanley
55 (1955). Movement events are clearly highly episodic, ranging from multiple years with repeated
56 movement reported by Sharp and Carey (1976) to more than a decade with little or no
57 documented movement (e.g. Messina and Stoffer, 2000; Lorenz et al., 2011b). It has recently
58 been suggested that the rate of occurrence of rock movements has been in systematic decline
59 since the 1970s, as a possible result of climate change (Lorenz and Jackson, 2014).

60

61 **2 Observations**

62 In an effort to resolve how trails form, we initiated in 2007 observation efforts with National
63 Park Service permission, with automatic instrumentation at the playa (Lorenz et al., 2011a).
64 While these studies have shown the remarkably dynamic variation in hydrological condition of
65 the playa in some winters, these observations and multiple visits per year found at best only
66 sporadic evidence of trail formation in the 2007-2013 period, one possible shallow trail being
67 noted in 2009 (Lorenz et al., 2011b).

68 Rock movement was finally observed in-situ by us (JN, RN) in December 2013 (see Norris et al.,
69 PLOS One in press, 2014). Movement was associated with a shallow pond on the southern $1/3^{\text{rd}}$
70 of the Racetrack that was covered by a 3-5 mm thick layer of floating ice. Buildup of winds
71 during the mid morning hours under sunny skies culminated in abrupt ice breakup around noon
72 on Dec 20 and 21, accompanied by popping noises of shattering ice across the floating ice sheet.

73 rock movement occurred when partial melting of the ice near mid day allowed winds of ~5 m/sec
74 to drive the ice downwind, bulldozing the rocks. Three instrumented rocks (8-17kg), equipped
75 with GPS receivers triggered by movement from their deployment sites recorded movement :
76 two moved ~70m over a 16 minute period on the 4th of December 2013, and one stone moved
77 ~40m in 12 minutes on December 20, 2013: velocities of of ~2-6cm/s were recorded (Norris et
78 al., PLOS One in press, 2014).

79 In a regular tourist visit, unrelated to any research program, one of us (JC) visited the playa on
80 5th January 2014 and observed movement : ice being shoved towards the southern shore of the
81 playa drove an embedded pebble-sized rock. This movement was recorded with a handheld
82 cellphone camera (see supplemental information : videos and other material is also available at
83 www.racetrackplaya.org) and is summarized in Fig 1 : the small rock is seen to move by a
84 couple of cm relative to two larger rocks. The audio on the cellphone video indicates wind
85 noise, as well as ice splintering on the shore.

86 In a follow-up visit on 9th January 2014, stimulated in part by JC's report relayed via a park
87 ranger we (JN, RL) observed further movements, and obtained documentation on new trails. On
88 this occasion, a thin (5~8mm) ice cover was again present on the playa lake at ~9am, which
89 showed progressively-growing patches of melt through late morning. When freshening winds
90 were noticed at ~11.45am (recorded with a handheld anemometer to be ~4m/s on the dolomite
91 hill at the south edge of the playa, and ~3.5 m/s by the meteorology station on the alluvial fan
92 ~0.5km to the east of the playa, Norris et al., PLOS One in press, 2014), cracks were heard and
93 seen to form in the ice, and slow movement of several rocks was observed by eye. The motion
94 was generally too slow to be meaningfully recorded on handheld video ; the motion was too slow
95 to be seen on a wide-angle view, and zoomed views lacked fiducial markers against which to
96 perceive movement (in this respect, the video by JC on January 5th is unusual because it recorded
97 rocks within 2m of the observer) - a moving rock moved with the ice around it so relative motion
98 was not apparent, and the ice obscured the playa surface underneath.

99 Splintering of the ice at the southern edge of the playa indicated that ~20cm of southward
100 beaching of the ice sheet occurred, with a larger eastward motion. Rotation of the ice sheet
101 (clockwise as seen from above), together with fracture of parts of the ice near the southern edge,

102 allowed greater motion away from the edge. A pair of new tracks (documented not to be present
103 three hours previously - Fig 2) were formed by two rocks moving north in a congruent zig-zag
104 pattern, consistent with their being locked in the same sheet of ice (e.g. Reid et al., 1995) -
105 indeed several other tracks were later found with the same pattern. Another set of trails, also
106 documented with before-and-after field photos (Fig 3) similarly moved northwards. It is striking
107 | in this example that some rocks were moved, where other rocks just tens of cm away were not-:
108 | evidently there is a strongly stochastic element to the mud friction and application of ice forces.
109 Elements of this randomness include the rock profile (low-sitting rocks may either be submerged
110 entirely beneath the ice sheet, or protrude only slightly such that the sheet rides over them),
111 fractures or leads in the ice, including leads formed by other rocks, and the depth to which the
112 rock is embedded in the mud.

113 Because park regulations prohibit walking on the wet mud (which would form footprints which
114 might persist for years) it was impossible to study the tracks more closely in-situ on their
115 formation date. However, the new tracks could fortuitously also be observed briefly by aerial
116 photography acquired around 1.00pm by a kiteborne camera (Lorenz, 2014) which the fresher
117 | breeze now allowed to fly-(Fig 4). There was only a narrow window for such observation -
118 | windy enough to fly the kite, but before the liquid became too disturbed - turbidity is evident in
119 Figs 1 and 2. Another set of tracks were seen to have formed nearby, and a number of rocks
120 further from shore were seen in motion by the observers. Fig 5 shows a wider view of the cliff
121 source region, and in particular sets of curved and right-angle trails that clearly show the effect
122 of rotation of the ice sheet.

123 Importantly, several rocks that moved were observed not to be gripped by the ice, but were
124 shoved from one side with the ice panel sometimes splintering against it and leaving a clear lead
125 behind, or in some cases riding up over the rock. This shows that in these cases at least, the ice
126 applied no buoyant uplift to the rocks. Although buoyancy has been speculated to be an
127 important mechanism (Lorenz et al., 2011b) for some movements (by analogy with arctic coastal
128 boulder transport, e.g. Dionne, 1993), evidently it was not required in this instance.

129 Timelapse imaging data, part of an ongoing program (Lorenz et al., 2010b) since 2007, showed
130 independently (Fig 6 - see also supplemental information) that at least ~4 rocks within ~50m of
131 the source cliff - likely including those we observed on-site - had moved between late morning

132 that day and noon the following day (imaging at only 2 frames/hr was permitted by Park
133 authorities for privacy considerations, and wind-ripples on the lake surface rendered rocks
134 invisible on the afternoon of 12/20). About ~12 rocks within 20m of the south edge were
135 observed not to move.

136

137 **3 Analysis**

138 Inspection of the timelapse imaging (see supplemental information) shows that the series of
139 movement events in winter 2013-2014 was enabled by remarkable ~20cm snowfall on 23rd
140 November which led to the playa being flooded for several weeks (some rainfall was also seen
141 21-23 November in the timelapse sequence : a nearby weather station recorded this as 3.6cm of
142 rain, Norris et al., PLOS One in press, 2014). A thin, transient ice sheet formed most mornings
143 (the coldest temperature recorded by a datalogger on the ground on the alluvial fan at the eastern
144 edge of the playa was about -3°C; see also Kleteschka et al., 2013).

145 Two of us (RDN and JMN) observed remnants of ice about 7-8 cm thick thick in the shadows of
146 bushes along the southern shore of the Playa on Dec 18- 21. The timelapse video also shows that
147 a persistent lens of grounded ice occupied the shoreline at the “source hill” for most of the
148 December-January period : this lens appears to be sustained in part by shadowing by the cliffs
149 (e.g. Lorenz et al., 2011).

150 It was observed that the ice tended to buckle and fracture against large, well-embedded rocks and
151 the playa edge: this fracturing yielded plates with a typical width of ~20cm. Experiments (Sohdi
152 et al., 1983) on floating ice sheets (a situation of concern to arctic infrastructure - see also Weber,
153 1958; Dionne, 1993; Drake and McCann, 1982) and theory show that for the case here, where ice
154 sheets are large ($L/B > 100$, where L is the ice sheet dimension and B the width of the obstacle),
155 the buckling load P is roughly $\rho g B L^2$, where ρ is the density of water and g is acceleration due
156 to gravity. Thus for a typical rock of $B=0.15\text{m}$, $P \sim 1500 L^2$. It may be noted that these
157 experiments were conducted with ice sheets somewhat thicker (~2.8cm) than those we observe at
158 the playa, but at similar rates of movement (~5cm/s). If we adopt a characteristic dimension of
159 the fracturing plates of $L \sim 0.20\text{m}$, we find a force of ~50N. This is the appropriate force

160 magnitude to move a ~15cm (10kg) rock: multiplying the weight of 100N by the friction
161 coefficient of ~0.5 measured in similar playa mud (Lorenz et al., 2011b), although this does not
162 exclude lower values of friction.

163 Assuming a typical smooth surface drag coefficient of 0.003, the wind stress due to a 4m/s wind
164 is ~0.024N/m². Thus a 50N stress requires a drag area (see e.g. Reid et al., 1995) of ~2000m² or
165 a patch of ice of ~100x20m, which is fully consistent with areas of exposed ice we observed on
166 9th January. A possibility that deserves further study is that water movements (e.g. Wehmeier,
167 1986), perhaps driven by wind stress on unfrozen parts of the lake, may also impart forces to the
168 ice, allowing much smaller plates of ice to drive rocks. An additional complication is how force
169 may be distributed among several rocks - depending on exactly how the ice is anchored to rocks
170 and how it fractures around them, several rocks might be moved sequentially even if their
171 summed friction would be expected to resist ice motion. This stochastic coupling may account
172 for the adjacent moving and nonmoving rocks we document, as well as the 'corral' experiment
173 in Sharp and Carey (1976). Fig 7 shows rocks with melt pools around them, as well as evidence
174 that the ice sheet locally migrated away from shore (perhaps as a result of overall rotation),
175 dragging rocks onto the playa.

176 A recurring weather pattern has been documented, with ice cover persisting for the morning, and
177 wind picking up by midday. On several occasions when wind has freshened early enough, and
178 ice persisted long enough, the ice has moved and pushed some rocks with it, forming new trails.
179 This pattern requires night-time freezing, which in recent years has occurred on some tens of
180 nights per year, in addition to the playa flooding. It has been suggested (Lorenz and Jackson,
181 2014) that the probability of rock movements may have declined since 1970 when rocks moved
182 3 out of 5 years that the playa was observed by Sharp and Carey (1976) : the present observation
183 of movements, the first since ~2005, does not substantially change this conclusion, and long-
184 term records at nearby weather stations show a decline in windspeeds and freezing nights. On all
185 five occasions we know rocks moved (December 4, 20, 21 and January 5, 9), ice, water and wind
186 were present. The relatively modest winds needed to cause movement here suggest that the wind
187 condition is fairly frequently met, and that water and especially floating ice are the rate-limiting
188 factors (Norris et al., 2014; Lorenz and Jackson, 2014). Winter timelapse observations since
189 2007 have showed generally dry conditions 2007-2011 (Lorenz et al., 2011a) with the only

190 exceptions being for a few days in early 2009, and prolonged period of flooding in February
191 2010. Continued observations have shown minimal liquid on the playa in the winters of
192 2010/2011, 2011/2012 and 2012/2013 : the winter of 2013/2014 can be considered unusual.

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194 **4 Conclusions**

195 It is possible that small rocks can be moved by wind without ice, and it is known that ice rafting
196 is a facilitating mechanism in some movement events, not least in moving gravels out from
197 shore. However, the trail formation and rock movements we report here support an ‘ice sailing’
198 model, where wind stress applied to a wide floating ice sheet bulldozes rocks. Rock movements
199 appear to have occurred for only a few minutes out of close to a decade (i.e. ~one millionth of
200 the time), and the documentation of the movements, and importantly of the conditions during
201 long periods of non-movement, has required the application of data acquisition technology able
202 to sustain persistent observation and thus to finally resolve the mystery of the moving rocks. We
203 consider ourselves privileged to have witnessed this remarkable process in action.

204

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211 of the other authors to the observations of JC.

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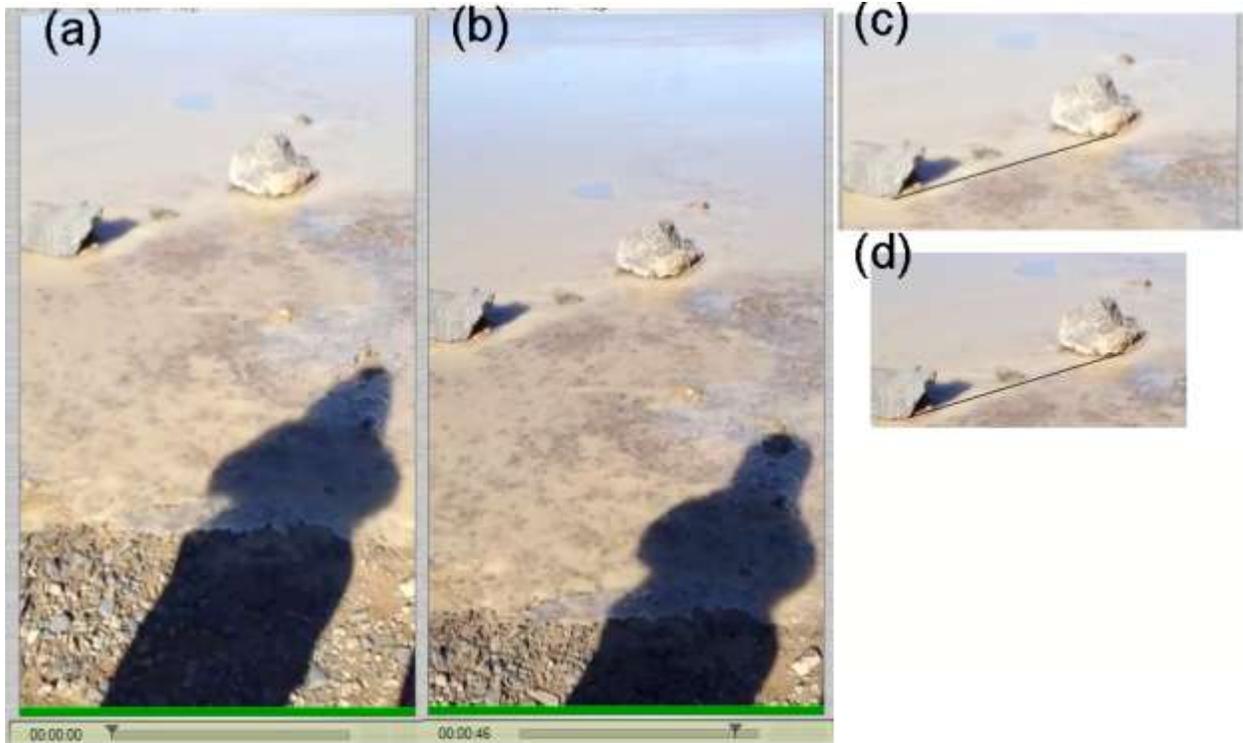
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274 *Figure 1. Images (a) and (b) are frames 46 seconds apart excerpted from a video acquired with*
275 *a cellphone camera at 13.30hrs on January 5th 2014 by JC. Zoomed views are shown in (c) an*
276 *(d) respectively, with a fiducial line as a guide - the small central rock is seen to have moved*
277 *~2cm relative to the other two larger rocks. These same rocks are seen four days later in Fig 3,*
278 *where the small rock has moved further towards the playa edge and formed a trail, and the small*
279 *rock at upper right is also displaced.*

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284 *Figure 2. Three parallel trails(about 40cm apart) observed to form around noon on 9th January*
285 *2014. The photo at left was acquired at around 9am local time, when ~8mm of ice was present -*
286 *the glazed appearance of the lake is evident. The kinked trails of the rocks in the foreground*
287 *were likely formed in the previous couple of weeks in the same transient lake. In the photo at*
288 *right, acquired three hours later, the two foreground rocks have moved ~10m to the north,*
289 *leaving trails visible in the shallow water. The trails have dark edges where wet displaced mud is*
290 *observable through the shallow semitransparent water, whereas the deeper trail center is bright*
291 *due to scattering in the longer column of suspended mud. The third rock (at roughly a 10*
292 *o'clock position relative to the other two) has moved similarly, although its trail is less obvious*
293 *owing to the reflection of the mountains in the water surface. The ice cover is now thin and*
294 *patchy.*

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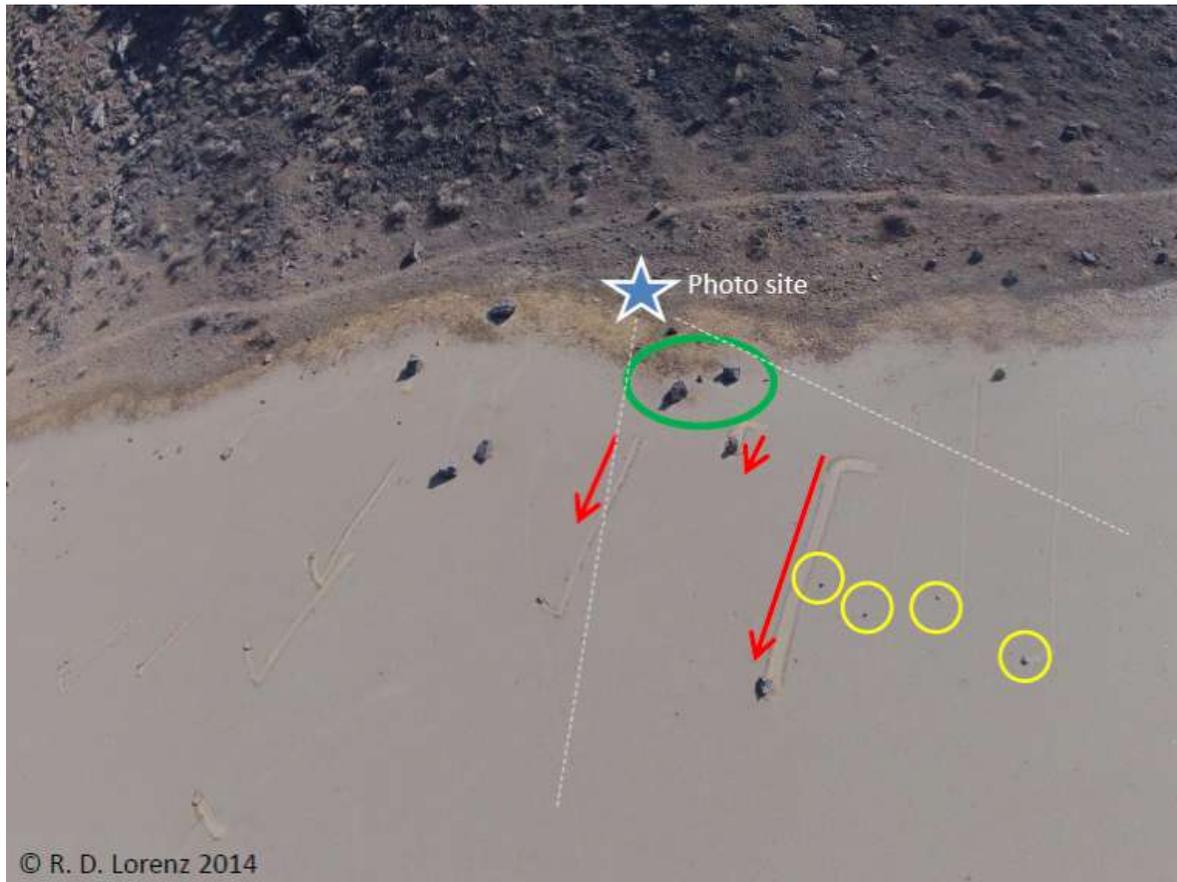


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300 *Figure 3. Images (a-e) acquired at the south edge of the playa on 9th January 2014, initial*
301 *image (a) at 09:16hrs. Six rocks have moved, forming fresh trails shortly before the second*
302 *image (b) was acquired at 12.08hrs. Trails and rocks (three rocks in the foreground have not*
303 *moved) are labeled in (c). Note the two larger rocks in the foreground (circled in green) with an*
304 *intermediate smaller rock : the smaller rock is that observed to move in the video acquired by JC*
305 *- see figure 1 - an is seen here to have moved further than observed on January 5th and to have*
306 *formed a short trail. A view of the same set of rocks seen from the dolomite cliffs above the*
307 *playa (d) at 11:04hrs before the movement event and (e) at 12:19hrs, afterwards, further*
308 *constraining the time of movement. Note in the second image that pools of reflective meltwater*
309 *on the surface of the ice are more extensive and that the ice has withdrawn northwards away*
310 *from shore at left, consistent with it dragging rocks.(f) View, slightly zoomed-in, of the same site*
311 *on 6th May 2014 after the lake had dried up, showing exposed trails.*

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316 *Figure 4. The fresh tracks, observed about 1pm local tim, January 9, 2014 from a kiteborne*
317 *camera looking near-vertically downwards. The melting of the ice allows the mud trails to be*
318 *seen through a few cm of water. The location from which images 2a-2c were obtained is*
319 *indicated with a star. The four yellow circles are the rocks indicated by same in figure 2c - their*
320 *tracks can be faintly seen.*

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324 *Figure 5. (a) Kiteborne view through several cm of water showing two general classes of fresh*
325 *trails. A nearshore set show a curved path consistent with rotation of the ice sheet. More distal*
326 *tracks show straighter paths away from the shore (i.e. northwards) and separate eastwards*
327 *segments. (b) More distant kiteborne overview looking south from over the flooded playa,*
328 *showing the source dolomite cliff and several features. (A) is the approximate location of the*
329 *timelapse camera (see figure 6 and supplementary material) (B) is the shadowed lake edge, with*
330 *thick ice lens (C) is the location of the curved tracks shown in Fig 4a, and (D) denotes the*
331 *position (just out of frame) of the trails in Figs 1-4.*

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335 *Figure 6. Montage of 2 timelapse frames (upper, 0808hrs, Dec 20; lower, 0748hrs, Dec 21). The*
336 *principal difference is that the ice lens in the foreground, which accumulates in part due to its*
337 *prolonged shadowing by the cliffs to the south, is slightly smaller on Dec 21. The collection of*
338 *rocks near the rock spit is unchanged, but 4 rocks have changed position, as seen in the contrast-*
339 *stretched portion of the images at center right. The raw images, as a video file, are available as*
340 *supplemental information to this paper, and at www.racetrackplaya.org.*

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344 *Figure 7. Images (by JN) acquired on 9 January 2014. Upper image at 11.57hrs shows ice*
345 *being pulled away from shore at top but with onshore movement at bottom. Lower image shows*
346 *wider view at 12.14hrs looking somewhat to the west, showing the ice sheet having been pulled*
347 *away from shore, rotating clockwise from above.*